THE UNITED STATES PATENT & TRADEMARK OFFICE

| Applicant: | Reynolds |) | |
|-------------|---|------------------------|--------------------------|
| Serial No.: | 10/009,389 |) Group Art Unit: 1638 | 를 고 |
| Filed: | 11/2/01 |) | FEB 1.2 CENTER |
| For: | Transformation of Allium SP. with Agrobacterium Using |)) | 12 2003 18 1800/ |
| | Embryogenic Callus Cultures |) | VED 2003 1600/2800 |
| Examiner: | Not Yet Assigned |) | |

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Attached Form PTO/SB/08A lists references which may be considered to be material to the above-identified application by the Patent Examiner. Copies of the references are enclosed. Entry into the record is respectfully requested.

Respectfully submitted

Lisa V. Mueller, Reg. No. 38,978

WOOD, PHILLIPS, KATZ, CLARK & MORTIMER Citicorp Center, Suite 3800 500 West Madison Street Chicago, Illinois 60661-2511 312/876-1800

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage at First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on February 6 2003.

Lym Annel

Under the Paperwork Reduction Act of red to respond to a collection of information unless it displays a valid OMB control number. 10/009389 Application Number Substitute for form 1449A/PTO November 2, 2001 Filing Date FEB 1 0 2003 INFORMATION DISCLOSURE First Named Inventor John Reynolds STATEMENT BY APPLICANT Group Art Unit Not Yet Assigned (Use as many sheets as necessary) Examiner Name Not Yet Assigned SVS3801P0311US

Attorney Docket No.

2

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|-------------|----------------------|-----------------------------------|----------------------------------|--------------------------------|---|
| Examiner Initials* | Cite No. | U.S. Patent Document | | | Date of Publication | Pages, Columns, Lines |
| | | Number | Kind Code ¹ (if known) | Name of Patentee or Applicant | of Cited Document MM-DD-YYY | Where Relevant Passages or Relevant Figures Appear |
| al h | - | 5,424,412 | | Brown et al. | June 13, 1995 | |
| 01 | | 5,591,616 | | Hiei et al. | Jan. 7, 1997 | |
| NV- | ` | 5,767,377 | | Nakajima et al. | Jun. 16, 1998 | |
| | | | | | | |

| | | | F | OREIGN PATE | NT DOCUMENTS | | · · · · · · · · · · · · · · · · · · · | |
|-----------------------|---------------|---------------------|----------------------------|-----------------------------------|---|---|---|----|
| Examiner Initials' | Cite No. 1 | | Foreign Patent Docume | ent | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | Τ* |
| Ah | | wo | 99/10512 | | Nunhems Zaden | March 4, 1999 | | |
| 0 | | JР | 92-060496/08 (Abstract) | | Wakunaga Seiyaku KK | January 9, 1992 | | |
| | | wo | 98/44136 | | Zenco (No. 4) Limited) | October 8, 1998 | | |
| | | wo | 92/06205 | | Clovis Matton N.V. | April 16, 1992 | | |
| | | wo | 97/42333 | | Pioneer Hi-Bred International Inc. | November 13, 1997 | | |
| | | wo | 00/44919 | | NZ Inst For Crop & Food | August 3, 2000 | | |
| (| | wo | 00/58484 | | Univ. Guelph, et al. | October 5, 2000 | | |
| ofh | | wo_ | 98/37212 | | Plant Genetic Systems | August 27, 1998 | | |
| Examiner Si | gnature | P | e me | ^ | | Date Considered | 17 Feb | 84 |

^{*}EXAMINER: Initially reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

'Unique citation designation number. 'See attached Kinds of U.S. Patent Documents. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standards ST.16, if possible. 'Applicant is to place a checkmark here if English language Translation is attached.

) inside this box
Comparable to Form PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | OIP | | Application Number | 10/009389 | |
|---|------|-----------------|--------------------|----------------------|----------------|
| Substitute for form 1449A/PTO | , | र्धाञ्च | Filing Date | November 2, 2001 | |
| INFORMATION DISCLO | SURE | 「EB 1 0 2003 岩」 | | First Named Inventor | John Reynolds |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | Group Art Unit | Not Yet Assigned | |
| (Use as many sheets as necessary) | PADY | | Examiner Name | Not Yet Assigned | |
| Sheet | 2 | of | 2 | Attorney Docket No. | SVS3801P0311US |

| | | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------|---|--|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
| orth | | Eady et al., Plant Cell Reports, 15:958-962 (1996) | |
| | | International Search Report from PCT/US00/12463 dated August 9, 2000 | |
| clh | | Eady et al., New Zealand Journal of Crop and Horticultural Science, 23(3):239-250 (1995) | |
| , | | European Search Report for EP 00 93 2149 | |
| aph | | Dong et al., Molecular Breeding, 2:267-276 (1996) | |
| all | | Dommisse, et al., Plans Science 69:249-257 (1990) | |
| 0 | | | |
| | | | |
| | | | |
| | | | |
| | | · | |
| | | | |
| | | | |
| | | | |
| | | | |
| <u> </u> | | (| |
| Examiner Sig | puture | Helme Date Considered 17 Feb 0 | 4 |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.